



*Marques de commerce*  
*Certificat d'enregistrement*

**La présente atteste** que la marque de commerce identifiée dans l'extrait ci-joint, tiré du registre des marques de commerce, a été enregistrée et que ledit extrait est une copie conforme de l'inscription de son enregistrement. Conformément aux dispositions de la *Loi sur les marques de commerce*, cette marque de commerce est renouvelable tous les quinze ans à compter de la date d'enregistrement.



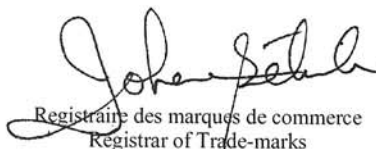
*Trade-marks*  
*Certificate of Registration*

**This is to certify** that the trade-mark, identified in the attached extract from the register of trade-marks, has been registered and that the said extract is a true copy of the record of its registration. In accordance with the provisions of the *Trade-marks Act*, this trade-mark is subject to renewal every 15 years from the registration date.

**NuGenTec**

Numéro d'enregistrement  
Registration Number **TMA1,011,503**

Numéro de dossier  
File Number **1814655**

  
Registraire des marques de commerce  
Registrar of Trade-marks

Date d'enregistrement  
Registration Date **19 déc/Dec 2018**

**APPL'N/DEM. NO 1 814 655**

**REGISTRATION/ENREGISTREMENT NO TMA1,011,503**

**FILING DATE/DATE DE PRODUCTION:**

**16 déc/Dec 2016**

**REGISTRATION DATE/DATE D'ENREGISTREMENT:**

**19 déc/Dec 2018**

**PRIORITY FILING DATE/DATE DE PRIORITÉ DE PRODUCTION:**

**(see below/voir ci-dessous)**

**REGISTRANT/PROPRIÉTAIRE ORIGINAL:**

NuGeneration Technologies, LLC  
1155 Park Avenue  
Emeryville, CA 94608  
UNITED STATES OF AMERICA

**REP FOR SERVICE/REP POUR SIGNIFICATION:**

SMART & BIGGAR  
SUITE 900, 55 METCALFE STREET  
P.O. BOX 2999, STATION D  
OTTAWA  
ONTARIO K1P 5Y6

**TRADE-MARK/MARQUE DE COMMERCE:**

**NuGenTec**

**GOODS/PRODUITS:**

- 1 chemical additives for use in the manufacture of a wide variety of goods, namely, electronic devices and medical devices; chemical additives for industrial use, namely, for use in the oil and gas industry, for use in industrial cleaning chemicals, for conversion coatings, for rust protection, for stripping paint, for metalworking, and for peptide synthesis.

**CLAIMS/REVENDEICATIONS:**

Priority Filing Date: August 05, 2016, Country: UNITED STATES OF AMERICA, Application No: 87/129,448 in association with the same kind of goods.

Used in UNITED STATES OF AMERICA on goods.

Registered in or for UNITED STATES OF AMERICA on March 14, 2017 under No. 5,160,812 on goods.

Declaration of Use filed December 05, 2018 on goods.

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# SMART & BIGGAR

Intellectual Property & Technology Law

## INFORMATION SHEET for CANADIAN TRADEMARK REGISTRATIONS

- TERM:** The registration is subject to a first renewal 15 years from the registration date, followed by renewals at 10 year intervals.
- USE:** The registration is vulnerable to potential cancellation proceedings for non-use in Canada, commencing three years from the registration date.
- LICENSING:** If a mark is to be used in Canada by a licensee, the owner of the mark must directly or indirectly control the character/quality of the goods or services in order for the use of the mark to be attributed to the owner. Although not required, we recommend that the license be formalised by a written agreement. We also recommend public notice of any licensed use and the identity of the owner, as this notice creates a rebuttable presumption of licensed use and quality control by the owner.
- AMENDMENT:** It is important to record any transfer and corporate changes so that the Canadian Trademarks Office's records are up to date. While the registration cannot be amended to alter the mark, it can be amended to restrict the list of goods and/or services. Also, a new application can be filed to extend the list of goods and/or services.
- MARKETING & LANGUAGE ISSUES:** When using, promoting or advertising goods or services in association with the trademark, care should be taken to ensure proper marking of the trademark as registered. It is also important to comply with applicable laws in Canada, including those relating to product labelling, product safety, advertising and use of the French language.
- TRANSACTIONS:** In the context of mergers, acquisitions, tax audits, financing and the like, a valuation and/or an in-depth assessment of the trademark may be recommended.
- LITIGATION:** Should you become aware of any infringement of the trademark, steps should be taken promptly to avoid jeopardizing any rights. We are able to assist in all enforcement and trademark litigation matters.

**If you have any question or require any assistance with respect to any of the above, please do not hesitate to contact us.**